



Doc Code:

PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/806,739	
	Filing Date	3/23/2004	
	First Named Inventor	Harry N. Mason	
	Art Unit	3677	
	Examiner Name	Katherine W. Mitchell	
Total Number of Pages in This Submission	10	Attorney Docket Number	MASOH-71972

**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Postcard; Certificate of Correction
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

CUSTOMER NO. 24201

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	FULWIDER PATTON LLP		
Signature			
Printed name	John V. Hanley		
Date	September 29, 2006	Reg. No.	38,171

**CERTIFICATE OF TRANSMISSION/MAILING**

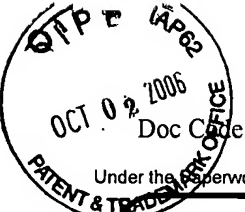
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	John V. Hanley	Date	September 29, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (01-06)  
Approved for use through 07/31/2006. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL for FY 2006

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$100.00

## Complete if Known

Application Number	10/806,739
Filing Date	3/23/2004
First Named Inventor	Harry N. Mason
Examiner Name	Katherine W. Mitchell
Art Unit	3677
Attorney Docket No.	MASOH-71972

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 06-2425 Deposit Account Name: Fulwider Patton LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or any underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	\$25.00	= \$0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	\$100.00	= \$0.00

HP = highest number of independent claims paid for, if greater than 3.

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 0 (round up to a whole number) x	\$125.00	= \$0.00

### 4. OTHER FEE(S)

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Certificate of Correction

\$100.00

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	38,171	Telephone	310-824-5555
Name (Print/Type)	John V. Hanley	Date	September 29, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 10 2006



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

HARRY N. MASON

Patent No.: 7,082,788 B1

Issued: August 1, 2006

Serial No: 10/806,739

Filed: March 23, 2004

For: SECURE INTERCHANGEABLE  
CHARM EARRING SYSTEM

Examiner: Katherine W. Mitchell

Group Art Unit: 3677

Client ID/Matter No: MASOH 71972

September 29, 2006  
Los Angeles, California 90045

REQUEST FOR CERTIFICATE OF CORRECTION

Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The above-identified patent has been found to have the errors set forth in the enclosed Certificate of Correction. It is requested that this Certificate of Correction be issued and returned to us. Since these errors occurred in both the final printing phase of the patent and in the final application, a check in the amount of \$100.00 is enclosed to cover the necessary fees. Should the Office determine that additional fees are needed, please charge Deposit Account No. 06-2425.

10/03/2006 LWONDIM1 00000003 7082788

01 FC:1811

100.00 OP

OCT 10 2006

The errors are verifiable in the patent application file as follows:

**ERROR**

**VERIFICATION**

Column 1, lines 51, 62, and 65, delete  
"pieced" and insert --pierced--.

Applicant error.

Column 2, line 2, delete "pieced" and insert  
--pierced--.

Applicant error.

Column 3, line 2, delete "pieced" and insert  
--pierced--.

Applicant error.

Column 4, line 48, after "free end" insert --  
the spiraling middle portion configured  
around the vertical portion;--.

Examiner's Amendment of December 16,  
2005. See attachment.

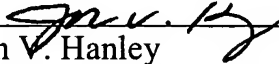
These errors occurred in good faith and correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. It is requested that a Certificate of Correction be issued and returned to us.

Attached hereto, in duplicate, is Form PTO-1050, with at least one copy being suitable for printing.

A duplicate of this document is attached.

Respectfully submitted,

FULWIDER PATTON LLP

By:   
John V. Hanley  
Registration No. 38,171

JVH:ck

Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
Telephone: (310) 824-5555  
Facsimile: (310) 824-9696  
Customer No. 24201

140872.1

OCT 10 2006



### EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.
2. Authorization for this examiner's amendment was given in telephone interviews with John Hanley during the week of 12/1216/2005. Examiner notes for the record Mr. Hanley's exceptional professionalism and cooperation in reaching allowance.
3. The application has been amended as follows:

**In the claims:**

Claim 1, line 17 has been amended to insert --, the spiraling middle portion configured around the vertical portion-- immediately after "the free end" and before the semi-colon.

Claim 5, line 8 has been amended to insert --, the spiraling middle portion configured around the vertical portion-- immediately after "the free end" and before the comma.

4. The following is an examiner's **statement of reasons for allowance**: In addition to the previously cited references, Ross Des 368,671 was considered to read on the independent claims prior to this examiner's amendment, as Fig 1, in the embodiment shown by fragmentary view of Fig 10, has the structural limitations. However, the amendment that the spiraling middle portion is configured around the vertical portion, is not taught, nor would there be any motivation to add this feature, as the Ross earring

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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BEST AVAILABLE COPY

In re the application of

HARRY N. MASON

Patent No.: 7,082,788 B1

Issued: August 1, 2006

Serial No: 10/806,739

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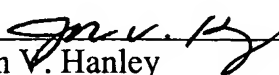
OCT 10 2006



A duplicate of this document is attached.

Respectfully submitted,

FULWIDER PATTON LLP

By:   
John V. Hanley  
Registration No. 38,171

JVH:ck

Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
Telephone: (310) 824-5555  
Facsimile: (310) 824-9696  
Customer No. 24201

140872.1

SEP 10 2006



### EXAMINER'S AMENDMENT

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2. Authorization for this examiner's amendment was given in telephone interviews with John Hanley during the week of 12/1216/2005. Examiner notes for the record Mr. Hanley's exceptional professionalism and cooperation in reaching allowance.
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**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,082,788 B2

APPLICATION NO.: 10/806,739

ISSUE DATE : August 1, 2006

INVENTOR(S) : Harry N. Mason

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 1,

Lines 51, 62, and 65, delete "pieced" and insert --pierced--.

Column 2,

Line 2, delete "pieced" and insert --pierced--.

Column 3,

Line 2, delete "pieced" and insert --pierced--.

Column 4,

Line 48, after "free end" insert --the spiraling middle portion configured around the vertical portion;--.

MAILING ADDRESS OF SENDER:

**John V. Hanley  
Fulwider Patton LLP  
6060 Center Drive, 10<sup>th</sup> Floor  
Los Angeles, CA 90045**

This collection of information is required by 37 CFR 1.322 and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief of Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450